SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

> Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB Number: 3235-0287									
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1. Name and Addre	2. Issuer Name and The <u>Alector, Inc.</u> [A			ng Symbol	(Cheo	ationship of Reportir k all applicable) Director	10% (10% Owner						
(Last) C/O ALECTOR	(First) , INC.	(Middle)		3. Date of Earliest Trat 06/03/2024	nsactior	n (Mon	th/Day/Year)	V	Delow)	other below ncial Officer	(specify)			
131 OYSTER P	4. If Amendment, Date of Original Filed (Month/Day/Year)						6. Individual or Joint/Group Filing (Check Applicable Line)							
(Street) SOUTH SAN CA 94080						V	Form filed by One Reporting Person Form filed by More than One Reporting Person							
FRANCISCO (City)	(State)	(Zip)		Rule 10b5-1(c) Transaction Indication										
	т	able I - N	on-Derivat	satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. tive Securities Acquired, Disposed of, or Beneficially Owned										
1. Title of Security (Instr. 3) Date (Month/Day/Y			Execution Date,	3. Transaction Code (Instr. 8)		4. Securities Disposed Of		d (A) or r. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership			
					Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)		
Common Stock 06/03/20				4	S ⁽¹⁾		6.920	D	\$4,7988 ⁽²⁾	138.037	D			

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv Secu Acqu (A) o Dispe	r osed) r. 3, 4	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. The reported shares were sold to satisfy the reporting person's tax obligations in connection with the vesting of restricted stock units, or RSUs.

2. The price reported is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$4.7986 to \$4.7988. Full information regarding the number of shares sold at each separate price can be furnished to the SEC staff upon request.



06/04/2024

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.