FORM 4

Section 16. Form 4 or Form 5 obligations may continue. See

Instruction 1(b).

## **UNITED STATES SEC**

Washington, D.C. 20549

| URITIES AND EXCHANGE COMMISSION |
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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

**OMB APPROVAL** OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative defense conditions of Rule 10b5-

Check this box if no longer subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| .(0). 0   | ee instruction   |           |      |   |  |  |                 |                                  |  |   |           |          |   | _   |  |                        |                                |   |                                   |  |
|---|--|-----------|------|---|--|--|-----------------|----------------------------------|--|---|-----------|----------|---|---|--|------------------------|--------------------------------|---|-----------------------------------|--|
| Name and Address of Reporting Person*  Paraman Carry                          |  |           |      |   |  | 2. Issuer Name and Ticker or Trading Symbol Alector, Inc. [ ALEC ] |                 |                                  |  |   |           |          |   |   | Relationship of Reporting Person(s) to Issuer     (Check all applicable) |                        |                                |   |                                   |  |
| Romano Gary   |  |           |      |   |  | Transfer [ Tibbe ]   |                 |                                  |  |   |           |          |   |   | Director   |                        |                                | 10% Owner                                   |                                   |  |
|   |  |           |      |   |  |  |                 |                                  |  |   |           |          |   | 4   | Offi below   | cer (give title<br>ow) |                                | Other (s<br>below)                          | specify                           |  |
| (Last) (First) (Middle) C/O ALECTOR, INC.                                     |  |           |      |   |  | 3. Date of Earliest Transaction (Month/Day/Year)                   |                 |                                  |  |   |           |          |   |   | Chief Medical Officer  |                        |                                |   |                                   |  |
|   | 10/01/2024   |           |      |   |  |  |                 |                                  |  |   |           |          |   |   |  |                        |                                |   |                                   |  |
| 131 OYS   |  |           |      |   |  |  |                 |                                  |  |   |           |          |   |   |  |                        |                                |   |                                   |  |
| (Stroot)  |  |           |      |   | 4. If Amendment, Date of Original Filed (Month/Day/Year) |  |                 |                                  |  |   |           |          |   | 6. Individual or Joint/Group Filing (Check Applicable |  |                        |                                |   |                                   |  |
| (Street) SOUTH SAN  |  |           |      |   |  |  |                 |                                  |  |   |           |          |   | Line)  Form filed by One Reporting Person             |  |                        |                                |   |                                   |  |
| FRANCISCO CA 94080  |  |           |      |   |  |  |                 |                                  |  |   |           |          |   | Form filed by More than One Reporting                 |  |                        |                                |   |                                   |  |
|   |  |           |      |   |  |  |                 |                                  |  |   |           |          |   | Person  |  |                        |                                |   |                                   |  |
| (City)  | (8   | State) (2 | Zip) |   |  |  |                 |                                  |  |   |           |          |   |   |  |                        |                                |   |                                   |  |
|   | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |           |      |   |  |  |                 |                                  |  |   |           |          |   |   |  |                        |                                |   |                                   |  |
| 1. Title of Security (Instr. 3) 2. Transacti                                  |  |           |      |   |  |  |                 |                                  | 4. Securities Acquired (A)                       |   |           |          |   |   |  |                        |                                | 7. Nature                                   |                                   |  |
| Date<br>(Month/Day)   |  |           |      |   | //Year)  | Year) Execution Date,<br>if any<br>(Month/Day/Year)                |                 |                                  | Transaction Code (Instr. 8)  Disposed Of (D) (5) |   |           | Instr. 3 | i, 4 an                                 | Bene<br>Own   | Beneficially Owned Following (I)   |                        | D) or Indirect<br>) (Instr. 4) | of Indirect<br>Beneficial<br>Ownership      |                                   |  |
|   |  |           |      |   |  |  |                 |                                  | Code   | v   | Amount    | (A<br>(D | ) or                                    | Price   | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                           |                        |                                |   | (Instr. 4)                        |  |
| Common Stock 10/01/20   |  |           |      |   |  | .024   |                 |                                  |  |   | 184,380(1 | 1) .     | A \$0.00                                |   | 0 364,175  |                        |                                | D   |                                   |  |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned |  |           |      |   |  |  |                 |                                  |  |   |           |          |   |   |  |                        |                                |   |                                   |  |
|   |  |           |      |   |  |  |                 |                                  |  |   | convertib |          |   |   |  |                        |                                |   |                                   |  |
| 1. Title of<br>Derivative<br>Security   | 2.<br>Conversion<br>or Exercise  |           |      | 3A. Deemed<br>Execution Date,<br>if any |  | 4.<br>Transaction<br>Code (Instr.                                  |                 | 5. Number of Derivative          |  | Expiration Date                           |           |          | 7. Title and<br>Amount of<br>Securities |   | 8. Price o<br>Derivative<br>Security                                     |                        | .                              | 10.<br>Ownership<br>Form:                   | 11. Nature of Indirect Beneficial |  |
| (Instr. 3) Price of Derivative  |  |           |      | n/Day/Year)                             | 8)   |  |                 | Securities<br>Acquired<br>(A) or |  | Underlying<br>Derivative<br>Security (Ins |           |          |   |   | (Instr. 5)   | Beneficial<br>Owned    | ly                             | Direct (D)<br>or Indirect<br>(I) (Instr. 4) | Ownership                         |  |
|   | Security   |           |      |   |  |  |                 |                                  |  |   |           |          |   | nstr.   |  | Following              |                                |   | (Instr. 4)                        |  |
|   |  |           |      |   |  |  | Disposed of (D) |                                  | 3 and 4)   |   |           |          |   |   | Reported<br>Transaction  | n(s)                   |                                |   |                                   |  |
|   |  |           |      |   |  | (Instr. 3, 4<br>and 5)   |                 |                                  |  |   |           |          | (Instr. 4)                              |   |  |                        |                                |   |                                   |  |
|   |  |           | -    |   |  |  |                 |                                  |  |   |           | Δm       | ount                                    |   |  |                        |                                |   |                                   |  |
|   |  |           |      |   |  |  |                 |                                  |  |   |           |          | or                                      |   |  |                        |                                |   |                                   |  |
|   |  |           |      |   |  |  |                 | Date                             |  | Expiration                                |           | of       | nber                                    |   |  |                        |                                |   |                                   |  |
|   |  |           |      | Code                                    | V  | (A)  | (D)             | Exercis                          | sable  | Date                                      | Title     | Sha      | res                                     |   |  |                        |                                |   |                                   |  |

## **Explanation of Responses:**

1. The reported shares are represented by restricted stock units, or RSUs, which vest in 12 equal quarterly installments beginning on December 1, 2024.

/s/ Marc Grasso, by power of attorney

\*\* Signature of Reporting Person

10/02/2024

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.